

Exam Code: 303701

Paper Code: 8308 (20)

Programme: P.G.D. F.S. Sem-I

Course Title: Financial Services -I

Course Code: PFSL-1091

Time Allowed: 3 Hours

Max Marks: 40

Attempt any 5 questions, selecting at least one from each section.

Section A

Q1. Discuss in detail the nature and functions of merchant banker.

Q2. "The changes in economic environment since independence have brought a lot of changes in the financial services sector" Discuss.

Section B

Q1. Discuss various methods of evaluating the leasing proposal.

Q2. Define Hire purchase. What are the various methods for the calculation of interest under hire purchase system?

Section C

Q1. What do you mean by credit rating? What is the procedure of credit rating?

Q2. Explain in detail about credit rating agencies in India.

Section D

Q1. Explain recent trends of Mutual Funds in India.

Q2. Discuss the facilities available to credit and debit card holder. Also explain the risk attached with them.

Exam Code: 303701

Paper Code: 8309 (20)

Programme: P.G.D. F.S. Sem-I

Course Title: Financial Institutions

Course Code: PFSL-1092

Time Allowed: 3 Hours

Max Marks: 40

Attempt five questions and select at least one question from each section. The fifth question may be attempted from any section. All questions carry equal marks.

Section- A

1. What do you understand by Non-Banking Financial Institutions? Explain the types of Non-Banking Financial Institutions. (8)
2. "Reserve Bank of India plays a significant role in the supervision of Indian Banking System." Elucidate the statement with respect to the features of Reserve Bank of India. (8)

Section- B

1. Explain the genesis of Banking System in India in Pre and Post Independence era. (8)
2. Write a short notes on: (8)
 - a. Importance of Cooperative Banks
 - b. Problems faced by the Cooperative Banks

Section- C

1. Explain the benefits of settling trades through Clearing Corporation of India Limited (CCIL). (8)
2. Write a notes on following: (8)
 - a. Achievements of DFHIL
 - b. Operations of DFHIL

Section-D

1. What do you mean by Depository System? Define the role of key players involve in Depository system? (8)
2. Elaborate the role of NABARD as a Microfinance facilitator in Government Sponsored Program. (8)

Exam Code : 303701

Paper Code : 8310 (20)

Programme : P.G.D. F.S. Sem-I

Course Title : Financial Sector Regulations-I

Course Code : PFSL-1093

Time Allowed : 3 Hours

Max Marks : 40

Notes:

- (i) The paper has four sections A, B, C and D.
- (ii) Attempt **Five** questions in all, selecting at least **One** question from each Section. The **Fifth** question may be attempted from any Section.
- (iii) All questions carry equal marks.

SECTION - A

1. What do you mean by Stock Exchange under Securities Contract (Regulation) Act 1956? Explain the procedure for framing its own bye-laws by a Stock Exchange. (8 Marks)

2. Explain the following:

b. Listing of Securities

b. Objectives of Securities Contract (Regulation) Act 1956 (2 X 4 = 8 Marks)

SECTION - B

3. Discuss the objectives and functions of SEBI. (8 Marks)

4. Explain the procedure and powers of Securities Appellate Tribunal under the SEBI Act.

(8 Marks)

SECTION - C

5. Explain the restrictions imposed by the RBI on the acceptance of public deposits by the NBFC's. (8 Marks)
6. Explain the rights and obligations of depositories, participants, issuers and beneficial owners as given under the Depository Act, 1996. (8 Marks)

SECTION - D

7. Explain the following terms under Government Securities Act:
- a. Subsidiary General Ledger Accounts.
 - b. Bank
 - c. Government Security
 - d. Forms of Government Securities
- (4 X 2 = 8 Marks)
8. Elaborate the salient features and penal provisions of the Foreign Exchange Management Act. (8 Marks)

Exam Code: 303701

Paper Code: 8311 (20)

Programme: P.G.D. F.S. Sem-I

Course Title: Financial & Cost Accounting

Course Code: PFSL-1094

Time Allowed: 3 Hours

Max Marks: 40

Instruction to Candidates:

- 1) Attempt any five questions out of eight questions by selecting at least one question from each section.
- 2) Each question carries 08 marks

Section-A

- Q.1. (a) Give an account of the various branches of accounting.
 (b) Discuss in brief the need and objectives of financial accounting.
- Q.2. Discuss the various types of investment transactions.

Section-B

- Q.3. What are accounting concepts and conventions? Is there any difference between them?
- Q.4. Prepare a hypothetical balance sheet of insurance company.

Section-C

- Q.5. (a) What are the limitations of financial accounting? Also give the reasons for the need of cost accounting.
 (b) Explain the various bases for classification of costs
- Q.6. (a) Kolam products ltd. produces a stabilizer that sells for Rs.300. An increase of 15% in the cost of materials and 10% in the cost of labour is anticipated. If the only figures available are those given below, what must be the selling price to give the same percentage of gross profit as before?
- Material costs have been 45% of cost of sales
 - Labour costs have been 40% of cost of sales
 - Overhead costs have been 15% of the sales
 - The anticipated increased costs in relation to the present sale
 - Price would cause a 35% decrease in the amount of present gross Profit.
- (b) Prepare a cost sheet for the production of 100 units of an article using imaginary figures.

Section-D

- Q.7 A company is considering a reduction in the price of its product by 10% because it is felt that such a step may lead to a greater volume of sales. It is anticipated that there will be no change in total fixed costs or variable costs per unit. The directors wish to maintain profit at the present level.

You are given the following information:

- Sales (15,000 Units): Rs. 3,00,000
- Variable Cost: Rs. 13 per unit
- Fixed Cost: Rs. 60,000

From the above information, calculate P/V ratio and the amount of sales required to maintain profit at the present level after reduction of selling price by 10%.

- Q.8 Describe budgetary control. What are the objectives of budgetary control? Give classification of budgets.

Exam Code: 303701

Paper Code: 8312 (20)

Programme: P.G.D. F.S. Sem-I

Course Title: Financial Markets

Course Code: PFSL-1095

Time Allowed: 3 Hours

Max Marks: 40

Instructions:

Attempt five questions in all selecting at least one question from each section.

The Fifth question may be attempted from any section.

Section-A

1. Describe the various capital market instruments.
2. Write a detailed note on growth and importance Indian capital Market.

Section-B

3. Write short note on:
 - a. OTCEI
 - b. SHCIL (Stock Holding Corporation of India Ltd.)
4. Explain the organization and management of stock exchanges in India.

Section-C

5. Write Short note on:
 - a. Treasury Bills
 - b. Call Market
6. What is a Money Market? Explain the difference between money market and capital market.

Section-D

7. Explain foreign exchange market and its structure.
8. Explain in brief the following:
 - a. Commercial paper
 - b. Certificate of deposits.